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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/458,322	12/10/1999	STEPHEN J. ZACK	533/198	8722

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EXAMINER

HUYNH, SON P

ART UNIT PAPER NUMBER

2611

DATE MAILED: 12/19/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/458,322

Applicant(s)

ZACK ET AL.

Examiner

Son P Huynh

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 December 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 11-31 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 11-31 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 August 2001 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4 and 7. 6) ☐ Other: _____

DETAILED ACTION

Specification

1. The disclosure is objected to because of the following informalities: Applicant is required to provide U.S Patent Application No. referred in page 10, lines 21-22.

Drawings

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: 'server modules 120 in lines 29-30 of page 13. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 112

3. Claims 12-16, 23 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In line 6 of claim 12, the term "the contents of said buffer" is unclear. Examiner interprets the contents as "non-content data" stored in the buffer claimed in line 2.

In lines 2-3 of claim 23, "said second formal" lacks of antecedent basis.

Double Patenting

3. Claim 19 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 18. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

Claim 19 depends on claim 17. The only difference between claims 18 and 17 is the limitation of "the switch preferentially multiplexing the non-content control data over the non-content non-control data" (lines 4-6 of claim 18). Claim 19 comprises all limitations of claim 17 and the limitation of "the switch preferentially multiplexing the non-content control data over the non-content non-control data" (lines 4-6). Therefore, claims 19 cover the same scope of the same subject matter and are duplicates. Classification of this issue is requested.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in-

(1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or

(2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

5. Claims 11-14, 17-19 are rejected under 35 U.S.C. 102(e) as being anticipated by Adams (US 6,044,396).

Regarding claim 11, Adams discloses service source 115 comprising: statistical multiplexer 208 for selectively multiplexing packets in media servers 200 and application server 202 and forwards the multiplexed streams to a modulator 210 for modulating before transmitting over a channel in the network 110 (see figure 2). Adams further discloses transmitting application data and control information in the bandwidth represented by the space between the bit rate trace of the video signal and its maximum constrained limit. In addition, the channel rate control 1002 controls the rate at which the network controller 204 (see col. 6, line 45-col. 7, line 27). Therefore, Adams teaches a server apparatus comprising statistical multiplexer 208 reads on the switch

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being claimed wherein the video streams read on the content stream, the application data and control information read on non-content data.

Regarding claim 12, Adams discloses statistical multiplexer 208 includes numbers of video buffers 400 for receiving encoded video streams from the media servers 200 and at least on application buffer 402 for receiving the application data stream from the network controller 204. The selector 404 allocates the application data stream to a low priority access to the network. The selector 404 selects data from the video buffers 400 in a conventional round robin fashion to ensure fair allocation to the network 110. If and when all video buffers 400 are empty, the selector 404 passes data from the application buffer 402 to the output buffer 406. The selector will continue reading from the application buffer 402 until data is detected in one or more of the video buffers 400. At that time, the selector 404 will again read from the video buffers 400 in round robin fashion (see col. 4, line 34-col. 5, line 8). Adams further discloses channel rate control 1002 for controlling the rate of the application data based upon the rate of the video stream (see figure 9 and col. 8, lines 64-67). Inherently, Adams teaches a switch controller, for determining a bandwidth utilization level of the switch and responsively causing at least a portion of the non-contents of the buffers to be multiplexed into the output stream when the bandwidth utilization level falls below a threshold utilization bandwidth level.

Regarding claim 13, Adams discloses application data and control information are not as sensitive as video data to transmission delay and dropped packets; therefore, these data can be transmitted in the bandwidth represented by the space between the bit rate trace of a video signal and its maximum constrained limit C_m (see col. 6, line 65-col. 7, line 3). Adams further discloses selector 404 selects data from video buffers 400 in a conventional round-robin fashion to ensure fair allocation to the network 110. This scheme precludes one video stream from preventing others from meeting the real time constraints of the video display (see col. 4, line 52-col. 5, line 8). Inherently, Adams teaches the threshold bandwidth utilization level comprises a utilization level sufficient to process a single time extent; the content streams being divided into a plurality of respective time extent.

Regarding claim 14, Adams discloses the servers retrieve stored digital media from the vaults and implement other commands in response to requests from the home terminal node. The multiplexer 208 selects the packets or ATM cells to be forwarded to a modulator 210 (see figure 2). Inherently, Adams teaches each of the content streams provided by the server modules to the switch is divided into a plurality of respective time extents; and the switch is capable of multiplexing a predefined number of time extents into the output stream.

Regarding claim 17, Adams teaches the non-content data comprises control data (control information) and non-control data (application data), the switch (multiplexer

208) preferentially multiplexing the non-content control data over the content data (see col. 6, lines 65-66 and figure 2).

Regarding claim 18, Adams teaches the non-content data comprises control data (control information) and non-control data (application data), the switch preferentially multiplexing the non-content control data over the content data, the switch preferentially multiplexing the non-content control data over the non-content non-control data (see figure 2).

Regarding claim 19, Adams teaches the switch preferentially multiplexing the non-content control data over the non-content non-control data (see figure 2).

6. Claims 11- 22, 24-27, 29-31 are rejected under 35 U.S.C. 102(e) as being anticipated by Arazi et al. (US 5,966,120).

Regarding claim 11, Arazi et al. (hereinafter referred to as Arazi) teaches a server apparatus comprising: a switch (program multiplexer 110, Aux. data insertion controller 200 and program map), for multiplexing each of a plurality of content streams provided by respective server modules to produce an output stream for transport via communication channel; the switch receiving non-content data (auxiliary data) from a data source (Aux. Data Storage 130) and responsively multiplexing the non-content data into the output stream on a bandwidth availability basis (see figure 1).

Regarding claim 12, Arazi teaches the switch comprises a buffer 290 for storing the non-content data, the apparatus further comprising:
a switch controller, for determining a bandwidth utilization level of the switch (detecting for fill packet) and responsively causing at least a portion of the non-contents of the buffer to be multiplexed into the output stream when the bandwidth utilization level falls below a threshold utilization bandwidth level (see figure 2).

Regarding claim 13, Arazi teaches the threshold bandwidth utilization level comprises a utilization level sufficient to process a single time extent, the content stream being divided into a plurality of respective time extents (see col. 3, line 59-col. 4, line 35).

Regarding claim 14, Arazi teaches each of the content stream provided by the server modules to the switch is divided into a plurality of respective time extents; and the switch is capable of multiplexing a predefined number of time extents into the output stream (see figures 1-2 and col. 4, lines 1-35).

Regarding claim 15, Arazi teaches the bandwidth availability is determined by determining a maximum number of extents capable of being multiplexed by the switch (data packets and fill packets), determining an actual number of extents needed to be multiplexed by the switch (data packets), and defining a difference between the maximal

and actual amount of extents to be multiplexed by the switch (fill packets) as an availability bandwidth of the switch (see figures 1-2).

Regarding claim 16, Arazi teaches the non-content data within the buffer is multiplexed into the output stream in place of extents (fill packets) which are not provided by the server modules, the non-content data in the buffer being divided into extent size data portions (see figures 1-2 and col. 4, lines 24-25).

Regarding claim 17, Arazi discloses auxiliary data may be of any general type including additional encoded video data, advertisements, promotional feature or previews, news and other informational content, or data for computer related applications (see col. 3, lines 1-4). Special signals could be inserted into the Encoded Video program prior to distribution in order to mark the appropriate points for Local Auxiliary Data insertion (see col. 10, lines 10-21). In addition, a program map is inserted into the output stream (see figures 1 and 3). Thus, Arazi teaches non-content data comprises control data (data for computer related applications or special signals) and non-control data (other informational content or program map); the switch preferentially multiplexing the non-content control data over the content data.

Regarding claim 18, Arazi discloses auxiliary data may be of any general type including additional encoded video data, advertisements, promotional feature or previews, news and other informational content, or data for computer related

applications (see col. 3, lines 1-4). Special signals could be inserted into the Encoded Video program prior to distribution in order to mark the appropriate points for Local Auxiliary Data insertion (see col. 10, lines 10-21). In addition, a program map is inserted into the output stream (see figures 1-3). Thus, Arazi teaches non-content data comprises control data (data for computer related applications or special signals) and non-control data (other informational content or program map); the switch preferentially multiplexing the non-content control data over the content data, the switch preferentially multiplexing the non-content control data over the non-content non-control data.

Regarding claim 19, Arazi discloses auxiliary data may be of any general type including additional encoded video data, advertisements, promotional feature or previews, news and other informational content, or data for computer related applications (see col. 3, lines 1-4). Special signals could be inserted into the Encoded Video program prior to distribution in order to mark the appropriate points for Local Auxiliary Data insertion (see col. 10, lines 10-21). In addition, a program map is inserted into the output stream (see figures 1-3). Thus, Arazi teaches non-content data comprises control data (data for computer related applications or special signals) and non-control data (other informational content or program map); the switch preferentially multiplexing the non-content control data over the content data, the switch preferentially multiplexing the non-content control data over the non-content non-control data.

Regarding claim 20, Arazi et al. (hereinafter referred to as Arazi) teaches an apparatus comprising: a switch (program multiplexer 110, Aux. data insertion controller 200 and program map), for receiving content data streams from each of a plurality of server modules and multiplexing the content streams to form an output stream, each of the content data streams comprising a plurality of extents, each of the extents defining a respective content portions (see figure 1 and col. 4, lines 22-35); The primary programs are encoded in MPEG format (see col. 5, lines 60-63); the Auxiliary Data are extracted from the Distribution Auxiliary Data Storage 130 by the Distribution Storage Controller 120 and combined with the Primary Video Data Stream by the Auxiliary Data Insertion Controller 200 (see col. 6, lines 40-44 and figures 1-2). Thus, the primary programs are encoded in MPEG format and the auxiliary data are stored as non-format data. Inherently, the apparatus comprises a format converter, for converting non-content data from a first format into a second format (MPEG), the second format being compatible with a format of the content streams; and a controller, for receiving the non-content data in the first format and for causing the switch to insert corresponding non-content data of the second format into the output stream.

Regarding claim 21, Arazi teaches the switch comprises a data buffer 290 for storing the non-content data of the second format (see figure 2).

Regarding claim 22, Arazi teaches the non-content data comprises at least one of control data (computer related applications or special signal) and non-control data

(program map or other information), the controller causing the switch to preferentially insert non-content control data into the output stream (see col. 3, lines 1-5, col. 4, lines 30-35, col. 7, lines 53-67, col. 10, lines 10-21).

Regarding claim 24, Arazi teaches the switch preferentially multiplexes content data into the output stream and inserts the corresponding non-content data into the output stream if excess bandwidth is available (see figure 1 and col. 3, line 65-col. 4, line 35).

Regarding claim 25, Arazi discloses program multiplexer 110 ensures the CBR by inserting fill packets into the Primary Video Data Stream whenever its data rate would otherwise less than that of the video distribution channel (see col. 6, lines 16-34); the Aux. Data Insertion Controller 200 detects the length of fill packet and inserts Auxiliary data into the Primary Video Data Stream based on the length of fill packets (see col. 6, line 45-col. 7, line 42). Inherently, the switch communicates a buffer utilization level to the controller, the controller responsively causing the switch to adapt the amount of non-content data inserted into the output stream.

Regarding claim 26, Arazi discloses the program multiplexer 110 inserts fill packets into the Primary Video Data Stream whenever its data rate would otherwise be less than that of the video distribution channel (see col. 6, lines 27-30). Thus, the preferential insertion is limited. Arazi further discloses the primary programs are real

time programs (see col. 6, lines 22-25). Inherently, failure to multiplex content data will result in the degradation of presentation quality of the content data.

Regarding claim 27, Arazi teaches the switch utilizes statistical multiplexing of received packets to predict bandwidth availability (see col. 2, lines 13-47).

Regarding claim 29, Arazi teaches a method comprising:
multiplexing a plurality of content stream portions to produce an output stream, the output streams being adapted to a forward application transport channel (FATC);
transmitting the output stream via the FATC;
determining if the FATC has associated with it a bandwidth utilization level below a threshold level; and
inserting, into the output stream, non-content data in response to available FATC bandwidth (see figure 1).

Regarding claim 30, Arazi teaches the primary programs are real time distributed programs (see col. 3, lines 55-57) and the primary programs are assumed to be divided into segments or packets (see col. 4, lines 24-25). Inherently, each content stream portion comprises a time extent of respective content, the respective content being divided into a plurality of time extents.

Regarding claim 31, Arazi teaches the non-content data comprises one of control data (computer related applications or special signals) and on-control data (program map or other information), the non-content control data being preferentially inserted into the output stream as the non-content data (see col. 3, lines 1-5, col. 4, lines 30-35, col. 7, lines 53-67, col. 10, lines 10-21, figures 1-3).

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arazi et al. (US 5,966,120) as applied to claim 20 above, and in view of Narasimhan et al. (US 6,446,192).

Regarding claim 23, Arazi teaches an apparatus as discussed in the rejection of claim 20. However, Arazi fails to specifically disclose the first format data comprises an internet protocol (IP) data format and the second format comprises an asynchronous serial interface (ASI) format.

Narasimhan et al. teaches network interface chip 36 comprises TCP/IP processor 66 handles header processing for the MAC, network (IP) and transport (TCP) layers. interface chip 36 also comprises an asynchronous serial interface 78 provides a full duplex asynchronous serial interface compatible with RS-232, RS-485, etc. (see figure 6). Inherently, the signal converts Internet protocol data format to asynchronous serial interface format. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Arazi to incorporate a feature as taught by Narasimhan in order to provide information suitable for routing to receiving device.

9. Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arazi et al. (US 5,966,120) as applied to claim 27 above, and in view of Gotwald (US 5,987,518).

Regarding claim 28, Arazi teaches an apparatus as discussed in the rejection of claim 27. However, Arazi does not specifically disclose a priority assigned to the non-content data to be inserted into the output stream.

Gotwald teaches a priority assigned to the non-content data to be inserted into the output stream (see col. 2, lines 19-30). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Arazi to incorporate a feature as taught by Gotwald in order to improve quality of services.

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Echeita et al. (US 6,078,958) discloses controller 46 for determining the required bandwidth and the remaining bandwidth, if any, based upon the difference between the available bandwidth and the total bandwidth requirement for the frames that are transmitted. The concentrator 48 efficiently and dynamically allocates the remaining bandwidth of concentrated output 22 to other information such as live broadcast information or other sources of information provided on data input lines 50 or 52.

Chen et al. (US 5,917,830) discloses splicing compressed packetized digital video streams.

Rao (US 5,940,738) discloses video pedestal network.

Naimpally et al. (US 5,650,825) teaches method and apparatus for sending private data instead of stuffing bits in an MPEG bit stream.

Birch et al. (US 5,583,562) discloses system and method for transmitting a plurality of digital services including imaging services.


Hendricks et al. (US 5,682,195) discloses digital cable headend for cable television delivery system.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Son P Huynh whose telephone number is 703-305-1889. The examiner can normally be reached on 8:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Faile can be reached on 703-305-4380. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9314 for regular communications and 703-872-9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the customer service office whose telephone number is 703-306-0377.

Son P. Huynh
December 11, 2002


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